

Consolidated Pop-up Alert messages

1. Pursuant to the Circular of Consolidated pop-up alert messages, the list of mandatory pop-up alert messages is as under:

1.1. List of Pop-up alert messages which are mandatory for ALL scrips wherever applicable based on various price variation criteria.

Sr. No.	Broad Criteria	Pop-up Alert Message
1.1.1.	Graded Surveillance Measure (GSM)	1.1.1.1. Scrip in GSM 1.1.1.2. Stage 1 GSM scrip 1.1.1.3. Stage 2 GSM scrip 1.1.1.4. Stage 3 GSM scrip 1.1.1.5. Stage 4 GSM scrip 1.1.1.6. Stage 6 GSM scrip
1.1.2.	Long Term Additional Surveillance Measure (Long Term ASM)	1.1.2.1. Stage 1 Long Term ASM scrip 1.1.2.2. Stage 2 Long Term ASM scrip 1.1.2.3. Stage 3 Long Term ASM scrip 1.1.2.4. Stage 4 Long Term ASM scrip
1.1.3.	Short Term Additional Surveillance Measure (Short Term ASM)	1.1.3.1. Stage 1 Short Term ASM scrip 1.1.3.2. Stage 2 Short Term ASM scrip
1.1.4.	Enhanced Surveillance Measure (ESM)	1.1.4.1. Stage 1 ESM Scrip 1.1.4.2. Stage 2 ESM scrip
1.1.5.	Unsolicited SMS	1.1.5.1. Under SMS – stock under ‘Current Watchlist’ 1.1.5.2. Under SMS – stock under ‘For Information’ List
1.1.6.	Insolvency Resolution Process (IRP)	1.1.6.1. Identified as ‘Receipt of Corporate Announcement /Disclosure from the Company’ 1.1.6.2. IBC stage 1 1.1.6.3. IBC Stage 2
1.1.7.	Inter Credit Agreement (ICA)	1.1.7.1. Identified under ICA 1.1.7.2. Identified under Surveillance Measure for ICA
1.1.8.	Default	1.1.8.1. Identified under disclosure of Default. 1.1.8.2. Identified under Additional Surveillance Measure for disclosure of Default.
1.1.9.	Pledge	Identified under Surveillance measure in respect of companies with high promoter pledge.

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Sr. No.	Broad Criteria	Pop-up Alert Message
1.1.10.	Total Pledge	Identified under Regulatory measure on companies as per pledge holding of both promoter as well as non-promoter
1.1.11.	Social Media Platforms	Under Social Media Platforms – stocks under ‘Surveillance Measure list’
1.1.12.	Add-on PB	Identified under Add-on Price Band
1.1.13.	Trade for Trade (TFT)	Security is under Gross settlement (Trade for Trade)

1.2. List of Pop-up alert messages which are mandatory for ALL scrips wherever applicable based on criteria other than price variation.

Sr. No.	Broad Criteria	Pop-up Alert Message
1.2.1.	Loss making	The company has been loss making for at least the last 8 quarters for main board and last 2 years for SME companies on consolidated basis.
1.2.2.	The Overall encumbered share in the scrip is more than 50 Percent.	Encumbrance of Promoters/ promoter group shareholding of at least 50% of the total capital
1.2.3.	Under BZ/SZ Series	The company is in BZ/SZ series due to non-compliance with SEBI SOP Circular
1.2.4.	Company has failed to pay Annual listing fee	The company has failed to pay Annual listing fee.
1.2.5.	Derivative contracts in the scrip to be moved out of F and O	The security is scheduled to move out of derivatives. No fresh far month contracts shall be issued.
1.2.6.	Security is in BAN for Trade on account of breach of 95% of MWPL (i.e., 20% of Non-promoter holding) *	Security is in ‘BAN period’ in F&O

*** Details regarding securities in Ban Period is not available in Regulatory Indicator file and members to use ‘fo_secban_DDMMYYYY.csv’ file from extranet path: \FAOFTP\FaoCommon\Limit Files (as per Annexure-2, Point B of circular NSE/FAOP/37975 dated June 08, 2018)**

1.3. List of additional Pop-up alert messages which are mandatory for ALL scrips wherever applicable.

Sr. No.	Broad Criteria	Pop-up Alert Message
1.3.1.	Scrip PE is greater than 50 (4 trailing quarters)	The scrip PE is greater than 50 for previous 4 trailing quarters

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Sr. No.	Broad Criteria	Pop-up Alert Message
1.3.2.	EPS in the scrip is zero (4 trailing quarters)	EPS based on previous 4 trailing quarters in the scrip is zero
1.3.3.	Less than 100 unique PAN traded in previous 30 days	Average daily number of unique Clients/PAN traded in the scrip in previous 30 days is less than 100

1.4. List of additional Pop-up alert messages which are mandatory for ALL SME scrips wherever applicable.

Sr. No.	Broad Criteria	Pop-up Alert Message
1.4.1.	Mandatory Market making period in SME scrip is over	Mandatory Market making period in this scrip is over, exit may be difficult.
1.4.2.	SME scrip is not regularly traded	The scrip is not regularly traded, and exit may be difficult.

1.5. Additionally, Top 3 (based on price movement per day) (Top 2 from close-to-close price variation and Top from High-low variation list) from the below list of pop-up alert messages will be applicable for all scrips for which alert messages mentioned in para 1.1 is not applicable.

Sr. No.	Broad Criteria	Pop-up Alert Message
1.5.1.	Close to Close price movement greater than 25% in previous 5 trading days	Close to Close price movement was greater than 25% in previous 5 trading days
1.5.2.	Close to Close price movement greater than 40% in previous 15 trading days	Close to Close price movement was greater than 40% in previous 15 trading days
1.5.3.	Close to Close price movement greater than 100% in previous 60 trading Days	Close to Close price movement was greater than 100% in previous 60 trading Days
1.5.4.	Close to Close price movement greater than 25% in previous 15 Days	Close to Close price movement was greater than 25% in previous 15 Days
1.5.5.	Close to Close price movement greater than 50% in previous 1 month	Close to Close price movement was greater than 50% in previous 1 month
1.5.6.	Close to Close price movement greater than 90% in previous 3 months	Close to Close price movement was greater than 90% in previous 3 months
1.5.7.	Close to Close price movement greater than 25% in previous 1 month	Close to Close price movement was greater than 25% in previous 1 month
1.5.8.	Close to Close price movement greater than 50% in previous 3 months	Close to Close price movement was greater than 50% in previous 3 months
1.5.9.	Close to Close price movement greater than 200% in previous 365 Days	Close to Close price movement was greater than 200% in previous 365 Days

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Sr. No.	Broad Criteria	Pop-up Alert Message
1.5.10.	Close to Close price movement greater than 75% in previous 6 months	Close to Close price movement was greater than 75% in previous 6 months
1.5.11.	Close to Close price movement greater than 100% in previous 365 Days	Close to Close price movement was greater than 100% in previous 365 Days
1.5.12.	High low price variation greater than 75% in previous 1 month	High low price variation was greater than 75% in previous 1 month
1.5.13.	High low price variation greater than 150% in previous 3 months	High low price variation was greater than 150% in previous 3 months
1.5.14.	High low price variation greater than 75% in previous 3 months	High low price variation was greater than 75% in previous 3 months
1.5.15.	High low price variation greater than 300% in previous 365 Days	High low price variation was greater than 300% in previous 365 Days
1.5.16.	High low price variation greater than 100% in previous 6 months	High low price variation was greater than 100% in previous 6 months
1.5.17.	High low price variation greater than 200% in previous 365 Days	High low price variation was greater than 200% in previous 365 Days
1.5.18.	High low price variation greater than 150% in previous 12 months	High low price variation was greater than 150% in previous 12 months

1.6. List of additional Pop-up alert messages which are mandatory for ALL ETF scrips wherever applicable.

Sr. No.	Broad Criteria	Pop-up Alert Message
1.6.1.	Cautionary Alert Message for International ETF	International ETFs may trade away from their NAV